



Attorney's Docket No. 97-039229-400

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

Applicant : Richard Vile et al.

Art Unit : 1641

Serial No. : 09/721,391

Examiner : Unknown

Filed : November 22, 2000

Title : GENE EXPRESSION BY POSITIVE FEEDBACK ACTIVATION OF A CELL
TYPE-SPECIFIC PROMOTOR

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

The following correspondence relating to this application is enclosed for filing:

1. Information Disclosure Statement (1 page);
2. Form PTO-1449 (6 pages);
3. Copies of Cited References (111); and
4. A Return Postcard.

Please date stamp and mail the enclosed postcard.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 18, 2001

J. Patrick Finn III
J. Patrick Finn III, Ph.D.
Reg. No. 44,109

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Trisha J. Anderson

Typed or Printed Name of Person Signing Certificate

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INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 18, 2001

J. Patrick Finn III
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